# ANSI/ASHRAE/ICC/USGBC/IES Addendum ak to ANSI/ASHRAE/ICC/USGBC/IES Standard 189.1-2017

# Standard for the Design of High-Performance Green Buildings

# Except Low-Rise Residential Buildings

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© 2020 ASHRAE ISSN 10

ISSN 1041-2336



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# FOREWORD

Addendum ak adds an informative appendix that documents how the source energy conversion factors and the  $CO_2e$  emission factors in Table 7.5.2 were developed. This information is intended to provide guidance on how the values may be modified for other countries to suit the mix of generator types used to make electricity.

*Note:* In this addendum, changes to the current standard are indicated in the text by <u>under-</u> <u>lining</u> (for additions) and <del>strikethrough</del> (for deletions) unless the instructions specifically mention some other means of indicating the changes.

# Addendum ak to Standard 189.1-2017

Add informative notes to Sections 7.5.2 and 7.5.3. (Note: Section 7.5.3 was previously added by Addendum ar.)

**7.5.2** Annual Carbon Dioxide Equivalent (CO<sub>2</sub>e). The proposed design shall have an annual  $CO_2e$  equal to or less than the annual  $CO_2e$  of the baseline building design multiplied by the performance cost index target determined from 7.5.1. To determine the annual  $CO_2e$  for each energy source in the baseline building design and proposed design, the energy consumption shall be multiplied by the  $CO_2e$  emission factors from Table 7.5.2.

**Informative Note:** The values in Table 7.5.2 are derived from United States data. The procedures in Informative Appendix K may be used to develop *CO*<sub>2</sub>*e* emission factors when conditions are different.

**7.5.3 Zero Energy Performance Index.** The proposed building zero energy performance index (zEPI2004) with consideration of renewables shall be less than the target (zEPI2004 Target). zEPI2004 and zEPI2004 Target shall be calculated as described below.

## $[\ldots]$

*Informative Note:* The values in Table 7.5.3 are derived from United States data. The procedures in Informative Appendix K may be used to develop source energy conversion factors when conditions are different.

## Add new Informative Appendix K.

(This appendix is not part of this standard. It is merely informative and does not contain requirements necessary for conformance to the standard. It has not been processed according to the ANSI requirements for a standard and may contain material that has not been subject to public review or a consensus process. Unresolved objectors on informative material are not offered the right to appeal at ASHRAE or ANSI.)

# INFORMATIVE APPENDIX K DERIVATION OF SOURCE ENERGY CONVERSION FACTORS AND CO<sub>2</sub>e EMISSION FACTORS

This informative appendix documents the procedures used to develop the source energy conversion factors and  $CO_2e$  emission factors in Table 7.5.2 and provides guidance on how the data can be modified for locations outside of the United States (U.S.). Example data used to illustrate the procedure are for the entire United States electric grid in 2017. A similar procedure was used to develop source energy conversion factors and  $CO_2e$  emission factors for the eGRID subregions, the only difference being the mix of electric generators and net generation.

# K1. SOURCE ENERGY CONVERSION FACTORS

K1.1 Source-Site Conversion Factors for Fossil Fuels. For the United States, the source energy factors for fossil fuel delivered to buildings are listed in Table K1-1. These factors represent the energy required to extract, process, and deliver the fuel to the building per unit of

# Table K1-1 Source Energy Conversion Factors for Fuel Delivered to Buildings<sup>a</sup>

(Source: Michael Deru and Paul Torcellini, Source Energy and Emission Factors for Energy Use in Buildings, National Renewable Energy Laboratory, Technical Report NREL/TP-550-38617, Revised June 2007, Table 5.)

Fuel	Source Energy Conversion Factor	Higher Heating Value	
Anthracite coal	<u>1.029</u>	<u>12,700 Btu/lb</u>	<u>29,539 kJ/kg</u>
Bituminous coal	<u>1.048</u>	<u>12,155 Btu/lb</u>	<u>28,270 kJ/kg</u>
Sub-bitumious coal	<u>1.066</u>	<u>8818 Btu/lb</u>	<u>20,509 kJ/kg</u>
Lignite coal	1.102	<u>6465 Btu/lb</u>	<u>15,038 kJ/kg</u>
Natural gas	<u>1.092</u>	<u>1010 Btu/ft<sup>3 b</sup></u>	<u>37,631 kJ/m<sup>3 b</sup></u>
Residual fuel oil	<u>1.191</u>	<u>149,500 Btu/gal</u>	<u>41,666 kJ/L</u>
Distillate fuel oil	<u>1.158</u>	138,700 Btu/gal	<u>38,656 kJ/L</u>
Gasoline	<u>1.187</u>	100,000 Btu/gal	<u>27,870 kJ/L</u>
LPG	<u>1.151</u>	<u>91,000 Btu/gal</u>	<u>25,362 kJ/L</u>
Kerosene	<u>1.205</u>	<u>135,000 Btu/gal</u>	<u>27,870 kJ/L</u>

a. These data were derived from the life-cycle inventory data from the United States LCI Database maintained by NREL.

b. Heating value for 60°F and 14.70 psia (15.6°C and 101325 Pa).

#### Table K1-2 Heat Rates for Electric Generation (2017)

(Source: Energy Information Administration, Monthly Energy Review, Table A6, except for biomass and non combustible renewables)

	Heat Rate		
Fuel Used for Electricity Generation	Btu/kWh	kWh/kWh	
Coal	10,493	3.08	
Petroleum	10,811	3.17	
Natural Gas	7870	2.31	
Total fossil fuels	9232	2.71	
Nuclear	10,459	3.07	
<u>Biomass<sup>a</sup></u>	15,968	4.68	
Noncombustible renewables	<u>0</u>	<u>0</u>	

a. The heat rate for biomass is the 2017 consumption from Table 10.2c of the EIA Monthly Energy Review divided by the 2017 biomass net generation from Table 7.2b of the MER.

energy in the fuel assuming the heating values listed in the table. These same values are used for fuels delivered to power plants.

**K1.2 Source Conversion Factors for Electricity.** For electricity, the source energy conversion factors represent the energy required to extract, process, and deliver fuel to the power plants plus the energy used at the power plant to generate electricity. This source energy is then divided by the net electricity generation in similar units. The net electricity generation is the power from domestic production that reaches customers, excluding transmission and distribution losses.

**K1.2.1 Heat Rates.** The source energy input into making electricity is calculated by multiplying the net electric generation for each generation source by the heat rate for that generation source. Table K1-2 shows the heat rates used to generate the source energy inputs for the United States. The source energy conversion factors from Table K1-1 are also applied to these values to account for the upstream energy used in extraction, processing and delivery.

**K1.2.2 Electric Generation Mix.** Table K1-3 shows the amount of electricity generated in the United States for 2017, broken down by the generator type. Data in this table will generally be the most significant change for locations outside of the U.S. The last column shows the source energy used for each generator. The source energy is calculated by multiplying the electric generation times the heat rate from Table K1-2 and the source energy conversion factor from Table K1-1.

#### Table K1-3 United States Electricity Generation Mix for 2017

(Source: Energy Information Agency, Monthly Energy Review, Table 7.2b)

<u>Generator Type</u>	Domestic Electric Generation, billions kWh	<u>Source Energy,</u> <u>quads</u>
Coal	<u>1199</u>	<u>13.19</u>
Petroleum	<u>20</u>	0.25
Natural Gas	1180	10.14
Nuclear	805	<u>8.42</u>
Hydroelectric	<u>298</u>	<u>0</u>
Biomass	32	0.52
Geothermal	16	<u>0</u>
Solar	<u>52</u>	<u>0</u>
Wind	254	<u>0</u>
Total	3858	32.53

#### Table K1-4 United States Net Generation for 2017

(Source: Energy Information Agency, Monthly Energy Review, Table 7.1)

	<u>Net Electricity,</u> <u>billions kWh</u>
Domestic generation (from Table K1-3)	3858
Pumped storage	<u>-6</u>
<u>T&amp;D losses</u>	<u>-245</u>
Delivered to customers from domestic generation	3606
Delivered to customers from domestic generation (quads)	12.30

**K1.2.3 Net Generation.** The net electricity delivered to customers accounts for energy losses due to pumped or battery storage as well as transmission and distribution losses. Table K1-4 shows the net electricity generation in the United States for 2017. For 2017, transmission and distribution losses were about 6.1%.

**K1.2.4 Source Energy Conversion Factor for Electricity.** The source energy conversion factor is calculated as shown in Equation K1-1. For the U.S. national grid in 2017, the numerator is 32.53 quads from the last column of Table K1-3, and the denominator is the last row from Table K1-4. The result is 32.53 quads divided by 12.30 quads for a source energy conversion factor of 2.64. A similar process is used for each of the eGRID subregions.

$$\underline{r_e = \sum_{f=1}^{n} \text{EG}_f \times \text{HR}_f \times r_f}_{\text{NG}}$$
(K1)

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where

<u>r</u> e	Ξ	source energy conversion factor for electricity, unitless
<u>EG</u> f	Ξ	electric generation at the power plant for the <u>j<sup>th</sup> energy form, billions kWh</u>
-		(from Table K1-3)
<u>HR</u> f	Ξ	<u>heat rate for the <i>f</i><sup>th</sup> energy form, kWh/kWh (from Table K1-2)</u>
<u>r</u> f	<u>=</u>	source energy conversion factor for the <i>f</i> <sup>th</sup> energy form, unitless (from Table K1-1);
<u>_</u>		a source energy conversion factor of 1.025 is used for biomass to account for the
		energy required for harvesting and transportation.
<u>NG</u>	Ξ	net generation, e.g., power from domestic production that is delivered to
		customers, billions kWh (from Table K1-4)
ſ	Ξ	index for the energy form
<u>n</u>	Ξ	number of energy forms used to make electricity

# Table K2-1 Direct and Indirect Emissions from Fossil Fuels Use a

(Source: Michael Deru and Paul Torcellini, Source Energy and Emission Factors for Energy Use in Buildings, National Renewable Energy Laboratory, Technical Report NREL/TP-550-38617, Revised June 2007, except as noted below.)

Fuel	<u>Carbon Dioxide</u> ( <u>CO<sub>2</sub>)</u>	Methane (CH <sub>4</sub> )	<u>Nitrous Oxide</u> (N <sub>2</sub> O)	<u>CO_2e</u>
Direct Emissions (lb/MWh of input)				
Natural gas (at the building)	412.14	0.0084	0.0084	415
Natural gas (at the power plant)	412.14	0.0084	0.0084	415
LPG (propane)	<u>494.93</u>	0.0081	0.0366	505
Residual fuel oil	<u>581.98</u>	0.0053	0.0027	<u>583</u>
Distillate fuel oil	<u>560.88</u>	0.0057	0.0029	562
<u>Coal<sup>b</sup></u>	738.26	0.0323	<u>0.1033</u>	768
Gasoline	<u>560.88</u>	0.0057	0.0029	<u>562</u>
<u>Biomass</u> <sup>c</sup>	355.04	0.0243	0.0414	368
Indirect Emissions (lb/MWh of input)	·	·		·
Natural gas (at the building) <sup>d</sup>	<u>39.19</u>	2.7000	0.0008	266
Natural gas (at the power plant) <sup>d</sup>	<u>39.19</u>	2.1000	0.0008	216
LPG or propane	76.86	0.8174	<u>0.0014</u>	146
Residual fuel oil	<u>81.48</u>	0.8695	0.0015	155
Distillate fuel oil	<u>80.69</u>	0.8585	0.0015	<u>153</u>
<u>Coal<sup>b</sup></u>	<u>26.16</u>	<u>1.1649</u>	0.0005	124
Gasoline	<u>95.54</u>	<u>1.0168</u>	0.0018	181
<u>Biomass</u> <sup>c</sup>	<u>16.60</u>	0.0199	0.00008	18
Total Emissions (lb/MWh of input)		·		·
Natural gas (at the building)	<u>451.33</u>	<u>2.7084</u>	0.0092	<u>681</u>
Natural gas (at the power plant)	<u>451.33</u>	2.1084	0.0092	<u>631</u>
LPG or propane	<u>571.79</u>	0.8255	0.0380	<u>651</u>
Residual fuel oil	<u>663.46</u>	0.8748	0.0042	738
Distillate fuel oil	<u>641.56</u>	0.8642	0.0044	715
<u>Coal<sup>b</sup></u>	764.42	<u>1.1972</u>	0.1038	892
Gasoline	<u>656.41</u>	1.0225	0.0047	744
<u>Biomass</u> <sup>c</sup>	371.64	0.0442	0.0414	386

a. The NREL data in this report were derived from the United States Life Cycle Inventory (LCI) Database maintained by NREL.

b. The NREL report gives values for various types of coal, but bituminous is used for this analysis because it is most common form in the United States

c. Values for biomass were not reported in the NREL document. Figures in this table were derived separately from EIA data and information from the California Air Resources Board (CARB). The cumulative net emissions for the 20 year period are calculated by subtracting the estimated counterfactual emissions.

d. Indirect methane emissions for natural gas are based on total losses of 1.4% for gas delivered to power plants and 1.8% for gas delivered to buildings, per Table ES-1 of Life Cycle Analysis of Natural Gas Extraction and Power Generation, August 30, 2016, DOE/NETL-2015/1714.

#### Table K2-2 Global Warming Potential (unitless multipliers) (Source: IPCC 2013, AR4 without climate carbon feedbacks)

	<u>Carbon Dioxide (CO<sub>2</sub>)</u>	<u>Methane (CH<sub>4</sub>)</u>	<u>Nitrous Oxide (N<sub>2</sub>O)</u>
20 year cumulative forcing	1	<u>84</u>	<u>264</u>
100 year cumulative forcing	1	<u>28</u>	<u>265</u>

## Table K2-3 Efficiency Assumptions for District Energy Systems (Source: Defaults from Section 2.4.1.2.3 of LEED District Energy Guide)

Heating efficiency	<u>70%</u>	Overall efficiency
Cooling efficiency	4.4	Overall COP
Losses chilled water	<u>5%</u>	
Losses hot water	<u>10%</u>	
Losses steam	15%	

# K2. CARBON DIOXIDE EQUIVALENT (CO2E) EMISSIONS

**K2.1 Fossil Fuel Emissions.** Fossil fuel combustion results in the release of three significant greenhouse gases: carbon dioxide  $(CO_2)$ , methane  $(CH_4)$  and nitrous oxide  $(N_2O)$ . These gases are released at the point of combustion, but emissions also result from the mining of coal, production of oil and gas, refinement, pumping, trucking, rail transport and/or piping of fuels. These direct and indirect emission rates are used for both direct combustion in commercial boilers as well as in power plants, except as noted in Table K2-1. These values are not expected to change significantly for other locations or jurisdictions.

Small amounts of  $CH_4$  and  $N_2O$  are released (mostly upstream), but these gases have a much larger impact on global warming than  $CO_2$  for a given mass of emissions. The global warming potential for 20 year cumulative forcing, as determined by the International Panel of Climate Change (IPCC), is used in the analysis (emissions from noncombustible renewable energy generators—e.g. wind, solar and hydro—are assumed to be zero). Emissions at geothermal plants are small but not zero. A value of 20 lb of  $CO_2$  per MWh of production is assumed based on geothermal plants in the western U.S. Table K2-2 and these 20 year weights are used to determine the  $CO_2e$  values in Table K2-1. The total emissions from Table K2-1 are used for fuels delivered directly to buildings or power plants.

Emissions from noncombustible renewable energy generators—e.g. wind, solar and hydro are assumed to be zero. Emissions at geothermal plants are small but not zero. A value of 20 lb of CO2 per MWh of production is assumed based on geothermal plants in the western U.S.

**K2.2 Emissions from Electricity Generation.** Emissions related to electricity generation are calculated by multiplying the total emissions from Table K2-1 for each  $CO_2e$  emitting fuel times the quantity of that fuel from Table K1-3 that is used to generate electricity. The sum is then divided by the net electricity generation from Table K1-4 as shown in Equation K2.1.

$$\underline{CO_2e} = \frac{\sum_{f=1}^{n} F_f \times E_f \times C}{\text{NG}}$$
(K2)

where

 $\underline{CO_2e} \equiv \text{carbon dioxide equivalent emissions, lb/MWh}$ 

$\underline{F}_{f}$	Ξ	quantity of carbon emitting fuel used at the power plant for the <i>f</i> <sup>th</sup> energy form,
5-		<u>quads (from Table K1-3)</u>

- $\underline{E}_{f} \equiv \underline{\text{total emissions rate for the } f^{\underline{\text{th}}} \underline{\text{energy form, kWh/kWh (from Table K2-1)}}$
- $\underline{C} \equiv \underline{conversion factor to change quads to MWh}$
- $\frac{\text{NG}}{\text{billions kWh (from Table K1-4)}} = \frac{\text{net generation, e.g. power from domestic production delivered to the customers,}}{\frac{\text{billions kWh (from Table K1-4)}}{\text{customers}}}$
- f = index for the energy form

 $\underline{n} \equiv \underline{number of energy forms used to make electricity}$ 

**K2.3 District Energy Systems.** *District energy systems* are assumed to use electricity for cooling and natural gas for heating. Values in Table 7.5.2 were calculated based on the district energy efficiency assumptions shown in Table K2-3. These or other assumptions appropriate for local conditions should be used when values in Table 7.5.2 are modified. Please note that the published values for *district energy systems* can be overridden through district energy modeling procedures recognized in Appendix C.

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#### POLICY STATEMENT DEFINING ASHRAE'S CONCERN FOR THE ENVIRONMENTAL IMPACT OF ITS ACTIVITIES

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ASHRAE's short-range goal is to ensure that the systems and components within its scope do not impact the indoor and outdoor environment to a greater extent than specified by the Standards and Guidelines as established by itself and other responsible bodies.

As an ongoing goal, ASHRAE will, through its Standards Committee and extensive Technical Committee structure, continue to generate up-to-date Standards and Guidelines where appropriate and adopt, recommend, and promote those new and revised Standards developed by other responsible organizations.

Through its Handbook, appropriate chapters will contain up-to-date Standards and design considerations as the material is systematically revised.

ASHRAE will take the lead with respect to dissemination of environmental information of its primary interest and will seek out and disseminate information from other responsible organizations that is pertinent, as guides to updating Standards and Guidelines.

The effects of the design and selection of equipment and systems will be considered within the scope of the system's intended use and expected misuse. The disposal of hazardous materials, if any, will also be considered.

ASHRAE's primary concern for environmental impact will be at the site where equipment within ASHRAE's scope operates. However, energy source selection and the possible environmental impact due to the energy source and energy transportation will be considered where possible. Recommendations concerning energy source selection should be made by its members.

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