



Authors and Revisers Guide

ASHRAE Handbook

June 2020

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This guide is produced and updated by the Society's Handbook Committee and the Handbook editor. Questions regarding this guide or preparation of Handbook chapters should be directed to the Handbook Committee liaisons listed in the TC/TG/TRG rosters or to the Handbook editor.

1.0 PHILOSOPHY

The ASHRAE Handbook is the recognized repository of current engineering procedures and practices in the fields of heating, ventilation, air-conditioning, and refrigeration (HVAC&R). The Society publishes the Handbook primarily to provide practical technical information and data for the design engineer. The information is directed at those who understand engineering principles and use the information as a checklist of procedures, for design data, and to review recent industry practices. Typical users include consulting engineers, equipment designers, plant engineers, contractors, government officials, technicians, and engineering students.

The Handbook does not, however, list all possible calculation methods, all possible equipment choices, or all possible design solutions. Specific designs must always result from the experience and expertise of the engineer after considering economics, owner preferences, local practice, climatic conditions, maintenance and operating costs, and other applicable factors. As such, the material contained in the Handbook is to be used as guidance for the practicing engineer, but cannot substitute for the judgment of the engineer.

1.1 Commercialism

The ASHRAE Handbook's scope includes all aspects of HVAC&R technology. Therefore, differing technologies are to be presented accurately in the Handbook and in accordance with the Society's commercialism policy. Material prepared for publication in the Handbook must be written and critically reviewed by the responsible Technical Committee (TC), Task Group (TG), or Technical Resource Group (TRG) to ensure that it is free of bias.

2.0 ADMINISTRATIVE ORGANIZATION

Many groups and individuals within ASHRAE participate in the Handbook's production. The following is the division of responsibilities; for further information, read each group's Rules of the Board (ROBs) and Manual of Procedures (MOP):

Publishing and Education Council—policy, publishing decisions, financial matters

Handbook editor/staff—preparation and publication of the Handbook

- Editor: Heather E. Kennedy (email: hkennedy@ashrae.org)

Handbook Committee—policy, publishing decisions, chapter assignments, scheduling, coordination, communications

- Chair
- Volume subcommittees (4)
- Subcommittee chairs
- Members/liaisons to technical committees, listed on TC/TG/TRG rosters

TCs/TGs/TRGs—prepare and revise chapters

- Handbook subcommittee
- Subcommittee chair
- Chapter reviewers
- Chapter lead author/reviser and other authors/revisers

3.0 CONTENT

The ASHRAE Handbook includes four separate printed and electronic volumes, currently entitled Fundamentals, Refrigeration, HVAC Applications, and HVAC Systems and Equipment. One volume is published each year in two editions, one using Inch-Pound (I-P) units of measurement and the other using the International System of Units (SI). Each print volume includes a CD-ROM containing its contents in both I-P and SI units. A web-based edition, ASHRAE Handbook Online, contains all four volumes in both I-P and SI units; its upgraded version, ASHRAE Handbook Online, adds interactive and supplemental content. The volume sequence is as follows:

3.1 Fundamentals

Fundamentals chapters provide concise descriptions of basic engineering principles used in the HVAC&R industry. Lengthy derivations should not be included except by reference. Chapters should address current design information useful to practicing engineers. They address principles of operation and the effect of design parameter changes.

Fundamentals chapters may also provide basic data used in HVAC&R calculations and processes. Tables and graphs are available for design engineers. The Fundamentals volume is also often used as a reference in HVAC&R engineering courses.

3.2 Refrigeration

Refrigeration chapters cover the various types of equipment and systems used in low- and medium-temperature applications in the food industry, the process industry, and in industrial facilities. They do not typically cover human thermal-comfort applications.

Refrigeration chapters may also include basic data such as properties of foodstuffs, and calculations particular to the refrigeration industry. Such information would not normally be found in one of the other volumes, although references to common procedures that appear elsewhere in the Handbook should be used whenever applicable.

3.3 HVAC Applications

Applications chapters provide information to help design engineers use the equipment and systems described in the other volumes. Applications chapters describe how equipment should be used and what to consider when designing for the application being described. This information helps system designers select and use equipment properly. It does not describe how to design components or equipment.

3.4 HVAC Systems and Equipment

System-oriented chapters cover various HVAC systems and illustrate the systems' features and differences. They refer to other chapters or volumes for basic design procedures.

Equipment-oriented sections or chapters cover components or assemblies. The information helps system designers select and others to operate equipment. It does not describe how to design components.

4.0 PROPOSING A NEW CHAPTER

Before writing a new chapter, the potential lead author should review existing and previous volumes' chapters for duplicate material. Determine if any other chapters or sections should be moved to the proposed chapter. Then prepare a one-page chapter proposal that includes key information, such as the chapter title, potential Handbook volume, proposer's name, potential coauthors and reviewers, justification, and an outline. Next, identify the most relevant TC for the topic and present the proposal to its Handbook subcommittee, and if approved, to the full TC. If approved by the TC, forward the proposal to the Handbook Committee liaison for that TC (if the TC has no liaison assigned, the proposal can be sent to the Society Handbook Committee chair and/or the staff Handbook editor). The liaison will then present the idea to the full Handbook Committee, but the proposer may be asked to assist in the presentation and to answer questions. The liaison will then give the proposer and the TC guidance on whether to proceed with writing the chapter, and when and in which volume the potential chapter will likely appear.

After obtaining the Society Handbook Committee's (HBC) approval of the proposal, write the chapter. The full manuscript then requires a detailed review, editing, and recorded approval votes by the TC Handbook subcommittee and the full TC. The completed manuscript and supporting material are then sent to the TC's liaison, who reviews it and either approves or sends it back to the TC for revision. If approved, the liaison sends the manuscript to the Handbook editor for preparation and publication. Be sure to follow the other relevant parts of this guide and observe deadlines to make approval and publication of the new chapter as swift as possible.

5.0 DELETING A SECTION OR CHAPTER

Material that is still relevant, even if only important to a small segment of the Society, should continue to appear in the Handbook. However, because the Handbook is a lasting reference, republishing obsolete information is unnecessary. Instead, refer readers to older volumes of the Handbook. If some material is deemed important but not appropriate, or is too voluminous for the Handbook, consider preparing a separate ASHRAE special publication or ASHRAE Handbook Online feature where readers can find the information.

Chapter sections may be deleted through the normal revision process. But to delete an entire chapter, have a recorded vote in the responsible TC's Handbook subcommittee and then the full TC. Next, forward the recommendation to the Society's Handbook Committee via the TC's Handbook liaison.

6.0 REVISION PROCEDURE

Reviewers and **revisers** of Handbook chapters establish the scope of chapters and organize the material. Working with the appropriate TCs, TGs, or TRGs, they develop the philosophy and content of individual chapters following the outlines presented in Sections 8 and 9 of this guide. It is important to follow these outlines for consistency and ease of use by the reader.

Although the Society Handbook Committee is responsible for the publication and editorial policy of the Handbook, TCs, TGs, and TRGs write, review, and revise the chapters. Their Manual of Procedures states:

“Each TC/TG/TRG is charged with the responsibility of preparing and reviewing Handbook chapters within its field of interest and making appropriate recommendations to the Handbook Committee. The TC/TG/TRG is responsible for the technical content of these chapters, but review and revision must be coordinated with the publication schedule established by the Handbook Committee.” (Para. 3.2.1)

It is the responsibility of every TC/TG/TRG member to participate in the review of chapters. TCs/TGs/TRGs should devote significant meeting time to discussing the purpose, content, and revision of their chapters. The Handbook Committee liaison can assist in finding individuals or groups to write and revise chapters. The liaison works with the revising subcommittee to transmit comments, criticism, and suggestions so that the chapter, when complete, reflects current practice. Handbook Committee liaisons also provide the connection between the revising TC/TG/TRG subcommittee and the editor, and are charged with ensuring that the approved chapters are submitted according to schedule.

6.1 Typical Four-Year Revision Schedule

Each chapter must be given a detailed review and have necessary revisions made at least every four years. In addition, updates can be made at any time for inclusion in ASHRAE Handbook Online. See Appendices A and B of this guide for sample templates of a chapter revision schedule and meeting agendas/minutes. The following is a summary of a typical four-year revision schedule. Each year is July 1 to June 30:

End of Year 0: The chapter is published in the printed Handbook volume, the single-volume CD, and the four-volume ASHRAE Handbook Online.

Year 1: The TC/TG/TRG Chair appoints a Handbook subcommittee and its chair. A meeting time is established and the subcommittee then meets at every ASHRAE Annual and Winter Conference. The subcommittee and others review the just-published chapter and make recommendations for its revision. A lead author/reviser for the chapter is selected. The Handbook Committee liaisons will identify to each TC those chapters that have been determined to be of concern regarding their revision status.

Year 2: The lead author/reviser and others revise the chapter.

Year 3: The nearly complete draft is reviewed by all members of the subcommittee, and then all members of the TC. After any changes are made, the subcommittee chair requests a recorded approval vote by the full TC. When approved, the lead author/reviser completes the chapter approval checklist and submits the revision and supporting material to the TC's assigned Handbook Committee liaison before the required deadline.

Year 4: The Handbook editor and staff prepare the chapter for publication and send a proof to the TC's designated final reviewer. After final corrections are made and queries answered, the chapter is complete. At the end of the fourth year, the revision is published and the process begins anew.

6.2 Chapter Review

For each chapter, the TC Handbook subcommittee chair selects one or more reviewers to

- check for errors that should be noted in the errata published with the next volume and on the ASHRAE web site (www.ashrae.org), and corrected in ASHRAE Handbook Online;
- recommend whether the chapter should be discontinued, what revisions should be made, or if no changes are necessary; and
- suggest reviser(s) for the chapter.

A checklist of review questions appears in Appendix C of this guide. The Handbook Committee liaison or the TC/TG/TRG may also send the chapter to external reviewers for comment. The results will be made available to the TC Handbook subcommittee chair.

TCs are encouraged to establish and maintain contact with other professional organizations or associations related to the topic of the TC's Handbook content for the purpose of soliciting reviews of the content between revisions. The TC's members are considered the best source of knowledge regarding the work and expertise of these organizations worldwide; many TC members are also members of these groups.

6.3 Chapter Revision

After the chapter review, the TC begins the revision, which lasts up to two years. The lead reviser normally sends interim drafts to various reviewers for their comments. After the draft is finalized, the chapter then receives TC approval by a recorded vote and is sent to the Handbook Committee liaison according to the schedule established by the editor.

6.4 Reviser's and TC's Responsibility

Material prepared for possible use in the Handbook is not to be released for publication outside ASHRAE, nor is material used elsewhere to be reprinted in the Handbook without proper review, permission, and referencing. Written permission must be obtained each time copyrighted material is used or reused. Any questions regarding this requirement should be discussed with the Handbook Committee liaison and the Handbook editor.

6.5 General Revision Guidelines

- The **audience** is expected to have a college degree or equivalent experience, probably technical; however, they will not generally have expertise in the chapter material. Carefully consider and explain the use of and **definitions** for jargon, abbreviations, and acronyms.
- Include **accepted engineering practice** and current information, including new technologies. Place the highest priority on comprehensive technical information and good design practices. Design firms' checklists might be useful to revisers in ensuring that all necessary topics are addressed in the chapter.
- **Design data and procedures** should be as simple and easy to use as possible. Procedures should be appropriate for the accuracy of data available at the point in the design process. *More complex procedures should be included **only** if the increase in accuracy is significant enough to justify the additional effort.* Cite or excerpt information from ASHRAE guidelines and other ASHRAE sources that include appropriate guidance on design narratives.
- Include overview **diagrams** of systems, equipment, and energy flows.
- Chapters in HVAC Applications, Refrigeration, and HVAC Systems and Equipment should not contain **derivations** of equations or discussions of the research process behind the data. The cited references should include this information if needed. Derivations for equations in Fundamentals should be concise. References to other publications, particularly ASHRAE guidelines and other ASHRAE sources, should be used when possible.
- Check for **clarity** and **conciseness**. Wordiness makes a chapter harder to read and understand. Simple figures can often replace or reduce lengthy discussions.

- Eliminate **sales promotion, market data, and unnecessary historical background** from the text. Delete references to this material from the reference list.
- Refer to material in other chapters when possible to **avoid duplication**. Check Fundamentals chapters for basic information. If the information is not complete, coordinate with its responsible TC/TG/TRG to develop the existing information instead of duplicating it in a chapter. Check older versions of the chapter for deleted material that is again relevant. TCs' designated revisers can obtain PDFs of previous versions of their chapters by contacting Handbook editorial staff.
- Identify **codes** and **standards** related to the chapter; however, the Handbook is not a code. Information that disagrees with existing codes and standards should be noted.
- Include **industry standard details** and **schedules** for different equipment types, if appropriate.

6.5.1 Making chapters more practical

An oft-heard criticism of the Handbook is that it has drifted toward theoretical content and away from practical descriptions, methods, and data that are of more direct use to design engineers. In many cases, rules of thumb and simplified procedures are still relevant and should be reintroduced. New and revised simplified procedures should be encouraged as well. These practical methods will not cover all situations or geographic locations, so a challenge to chapter authors or revisers is to help readers identify when these methods could be appropriately used.

To make a chapter more practical for the majority of Handbook users—HVAC designers—ensure that the following objectives are met when revising a chapter:

- The user will have access to industry-recognized rules of thumb for the topic.
- The user will have access to simpler design methods.
- The user will have references to other publications and standards.
- The user will have access to practical examples, tables, diagrams, and other summaries helpful to designers working on similar problems.
- The user will be introduced to the topic in a logical progression for instruction. This may require coordination between multiple TCs and Handbook chapters.

6.5.2 Research Results

Most of a TC's research efforts should ultimately yield methods, data, and conclusions useful to the membership, particularly to practicing engineers. As research projects are proposed and completed, pertinent results should be added to relevant Handbook sections.

6.5.3 Extraordinary Events

Each TC should consider the recommendations in the Report of the Presidential Ad Hoc Committee for Building Health and Safety under Extraordinary Incidents as approved by the Board of Directors, and any subsequent revisions, and include appropriate content in the TC's Handbook chapters.

6.5.4 Commissioning, Operation, Maintenance, and Sustainability

Where applicable, Handbook chapters should contain relevant information on commissioning, operation, maintenance, and sustainability. Each TC is responsible for coordinating this information with the TCs that prepare the main chapters on these topics.

6.6 Reference Policy

The purpose of references is to acknowledge the work of others and to allow readers to find further information. Follow the referencing style presented in Section 7.5 of this Guide.

Authors and revisers should review all references in the chapters they prepare or revise. References should be relevant, substantive, current (or of significant historical importance), and published and

readily available in the literature. Because web pages and other electronic communications often change, the underlying publications should be cited instead. But when a web page, or other document that is not published or readily available, is referenced, a legal copy must be submitted with the chapter manuscript, and will be kept on file by the editor.

6.7 Redundant Publication

Do not reuse significant portions of previously published non-Handbook text verbatim, even if you are the original author. Instead, isolate the points that are most relevant to the specific chapter or section topic, rewrite and condense them to meet the readers' needs, and cite the original source for those who want more details. Small sections (e.g., up to five or six sentences) of other works can be quoted directly, but must be clearly indicated as quotations. Even if the source document is public domain, extract and adapt the relevant information and then cite the original source. This policy not only helps ASHRAE avoid unintentional copyright infringement, but also helps preserve the value of both the original sources and the Handbook content, by ensuring that each publication presents unique information and is not merely repeating exactly what another publication said. For questions about quotations or redundant publication, please contact Handbook staff.

7.0 PREPARATION OF MANUSCRIPTS

Official Word files containing the as-published chapter content are available from authoring.ashrae.org (use the same login and password as for ashrae.org). Electronic files are required for major revisions. When making electronic revisions, be sure to leave the Track Changes feature turned on. If the number of revisions or file size requires turning off Track Changes or accepting some/all tracked edits, please note this for the ASHRAE editors at the beginning of the file.

In text, values in the alternate set of units should appear in parentheses after the primary units as in the official Word base files. Complete tables, equations, or figures in the alternate units can simply follow the equivalent primary units item. Round equivalents in the alternate units so that they imply the same accuracy as the primary units.

After the TC approval vote, complete and submit the chapter approval checklist found in Appendix D. Send the checklist, revised manuscript files, and supporting materials to the TC's Handbook Committee liaison, who will review and forward them to the editor.

7.1 Preparing Revisions or New Chapters Electronically

Submit electronic files, with revisions shown using the Track Changes feature. If file size or revision complexity requires accepting changes, please note this fact for ASHRAE editors in the file. The manuscript should be in a single column with no elaborate formatting or special embedded codes; editorial staff will format the text.

TC Handbook subcommittee chairs or lead revisers may obtain electronic files for the most current Handbook chapters from the ASHRAE Authoring Portal (authoring.ashrae.org). Log in using the same email and password you use on ashrae.org. Chapters are available in MS Word.

7.2 Figures and Tables

- **Black-and-white line drawings** clearly labeled with typical construction or operating features are preferred. Photographs and artwork with shading or color may not reproduce well, especially heat maps or graphs that depend on color to convey meaning.
- **Figure titles (captions)** should be as short as possible while identifying figure content. Lengthier descriptions should be included in text discussion, not in captions.
- Use as **few drawing lines as needed** for clear communication to the viewer of the figure. For example, grids are needed only on charts intended for accurate reading of numerical values. Simplifying graphics to highlight only the important, relevant points helps readers grasp the essentials more quickly.

- **Commercialism.** Do not use illustrations that show a manufacturer-specific external appearance of a piece of equipment. Give credit to a manufacturer only in the rare case where no comparable illustration is available and redrawing the figure is not possible, or for historical purposes only. Refer such cases to the Handbook Committee Liaison for further guidance.
- **Acceptable graphics formats** include WMF, TIF, XLS, DXF, PCX, EPS, BMP, and JPEG. Submit a separate electronic file for each figure. Minimum resolution is 600 dpi. Check graphics before submitting, to ensure that labels are clearly legible and not blurry. If creating graphs in Excel, please submit both the final graph and the raw data tables whenever possible.
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- **Tables** should be either MS Word tables, Excel spreadsheets, or tab-delimited text. Do not submit tables as images.
- Submit tables and figures in **both I-P and SI** versions.
- Figures reprinted from other publications must have **permission** to reprint renewed with each Handbook edition. Please see section 7.9 for more information on permissions, and consult with editorial staff if you have questions.

7.3 Measurement Units

- Provide both I-P and SI units for new material.
- Consult ASHRAE pamphlet *SI for HVAC&R* (available online at <https://www.ashrae.org/technical-resources/ashrae-handbook/the-si-guide>) for ASHRAE's policy on units and the use of SI.
- Alternate units should be rounded so that they imply the same accuracy as the primary units.

7.4 References and Bibliography

The ASHRAE Handbook follows a style adapted from that in *The Chicago Manual of Style* for the reference list entries shown at the end of each chapter:

First author's last name, initials, all additional authors. Date. Article or Book Title (sentence style, i.e., first word capitalized, rest of title lower case). If conference proceedings: proceedings title, volume number, pages, conference name, location, dates, and sponsor/publisher. If journal: journal title, volume number, issue number, pages, publisher, and city. If book: publisher and city.

In the body of the text, use the "author-date" method of citation, i.e. (Einstein 1932) for one author, (Smith and Brown 2001) for two, and (Columbus et al. 1492) for three or more authors.

7.4.1 Reference List

Arrange references to specific articles, books, or other works cited in the chapter at its end in alphabetical order by the first or only author's last name. List all authors in an entry; do not use et al. as is done within the main text for three or more authors.

7.4.2 Bibliography

This optional section after the Reference section lists additional uncited sources of information. Present each item in the same format as references. Delete entries that are outdated.

7.5 Definitions, Abbreviations, and Symbols

Use definitions that agree with the latest ASHRAE and ANSI standards. [ASHRAE Terminology](#), a free online glossary maintained by TC 1.6, Terminology, defines many terms common to heating, ventilation, air-conditioning, and refrigeration.

Abbreviations and symbols should correspond to those in the Fundamentals chapter on Abbreviations and Symbols. Use one-letter symbols, with subscripts if necessary, in preference to two- or three-letter symbols. Each symbol should have only one definition in a chapter. Try to use the

same symbols as in other chapters on related subjects (consult the Abbreviations and Symbols chapter in the *Fundamentals* volume for helpful lists). Define a symbol once after its first use in an equation. If the chapter has more than ten symbols, make a list of symbols and their definitions at the end of the chapter.

7.6 Examples

Include examples for clarification where appropriate. Example problems must be expressed in appropriate rational units, not simply converted values, for both the I-P and SI editions. Check all calculations, even if they have been repeated from a previous volume.

7.7 Indexing and Linking

Identify important words, concepts, and topics that should be listed in the Index. Insert in the text any appropriate cross-references to other chapters.

7.8 Authority for Data

Give the authority (i.e., cite a reference) for all tabulated data, charts, and recommended numerical design values to enable interested readers to validate the information. For example, cite the underlying research paper published in *ASHRAE Transactions* or elsewhere.

7.9 New and Reused Copyrighted Material

It is the revising TC/TG/TRG's responsibility to obtain written permission in each revision cycle to use material copyrighted by a publisher other than ASHRAE. A copy of such written permission must be submitted with the manuscript and applies to both *new and reused* material copyrighted by others. Permission from the publisher is required if the chapter content includes a *reprint* of a figure or table, or quoted text of more than a few lines. Equations and paraphrased key discussion points, properly attributed, do not require permission. Permission is also not needed to use reformatted partial data from tables.

7.10 Recommend Publication and Distribution

A recorded vote by the entire TC is required to approve publication of the completed chapter manuscript and any electronic enhancements. The TC must have a recorded vote at a meeting where a quorum is present, or a letter ballot, and the results of the vote must appear in the TC's meeting minutes. After TC approval, send the completed chapter approval checklist (which lists the resulting vote) and files for the manuscript and all figures to your Handbook Committee liaison with cc: to the Handbook editor. The liaison will review the final, approved manuscript and files and officially submit them to the editor.

7.11 Contributors

The chapter's lead author/reviser is responsible for submitting a report that includes the name, title, company affiliation, and address of each reviser who made a significant written contribution to the text of the current revision. The editor typically includes no more than three authors/revisers per chapter in the volume's contributing authors list unless requested by the TC. Individuals who reviewed the chapter but did not contribute new content should not be listed as contributors.

7.12 Proofs

Electronic proofs of I-P and SI chapters will be sent to the author or lead reviser shown on the submitted checklist for final review. Please review proofs quickly yet carefully, and then return comments promptly to the editor. The editor will confer with the author or lead reviser and Handbook Committee liaison regarding any major editorial changes that may be required in the original manuscript.

7.13 What to Include in Submission Package

- Completed chapter approval checklist (see Appendix D of this guide) with all items it requires.
- Electronic files of all text, tables, and graphics. File names of graphics should be clearly identified for their intended location in the manuscript.
- The use of ASHRAE Authoring portal to submit revisions and edits to Handbook chapters is strongly encouraged
- Electronic files may be submitted as e-mail attachments or through the ASHRAE Authoring Portal. Use standard file extensions for electronic text and graphics (e.g., .doc, .txt, .jpg).

8.0 OUTLINE FOR FUNDAMENTALS CHAPTERS

Chapters in Fundamentals provide concise descriptions of basic engineering principles and data in the form of text, tables, charts, and graphs as used in HVAC&R. The following outline provides guidance to the author/reviser so that chapters whose primary purpose is to present basic information are consistent and easy to follow. Sections that do not apply to a chapter can be omitted.

Title

Brief, accurate, and informative.

Contents

Show the major headings in the chapter.

Introduction

The introduction is a concise statement of the purpose for the chapter. It includes the topic(s) covered, why they are included, and how the information in the chapter relates to HVAC&R. It should not, however, simply restate the table of contents.

Definition/Description

Include a brief statement of the intended use for the information in this chapter.

Body/Discussion

The body of the chapter contains some or all of the following items as needed. Be clear—ask someone who is not an expert in the subject area to review the revised chapter for clarity.

Background and Derivations.

- Be concise. Assume that the reader has an engineering degree or equivalent experience.
- Do not repeat the obvious.
- Lengthy derivations should be avoided; it is better to summarize and refer to other authority so that readability is enhanced.
- Use standard symbols, definitions, and units.
- References to proprietary or specific products are not appropriate.

Calculations. Are calculations required to use the information properly? Use references to other chapters or special publications when appropriate.

Illustrations/Examples. Examples help clarify calculations unique to this information. References to other chapters with notes pointing out the differences may make a better and more complete presentation.

Tables/Graphs.

- Who will use the table or graph? Why? Is this information available electronically?

- Be sure the information is complete. If not, can more information be found elsewhere? If so, give a reference.
- Is there too much detail or not enough to use the table? Should it be reformatted for better usability?
- Is there too much precision used for the data's accuracy? Could the size of the table be reduced without missing anything important?
- Be sure to give authority for figures and tables as well as any qualifying footnotes and other limitations. Just describing them in the text may not be adequate.

References and Bibliography

Arrange references alphabetically by the first or only author's last name. Be sure all sources cited in the text are listed in the References section and are available in the general literature. References not readily available or on file may be deleted from the list by the editorial staff.

9.0 OUTLINE FOR CHAPTERS IN OTHER VOLUMES

Chapters in HVAC Applications and some chapters in Refrigeration provide information that helps the design engineer use the equipment and systems described in other volumes. These chapters describe considerations when designing for a specific application. The information helps the designer select and use equipment properly. It does not describe how to design components or equipment.

Systems chapters cover common HVAC&R systems and illustrate features and differences. Equipment chapters cover arrangements or assemblies to help system designers select and operate equipment. Basic design procedures should be referenced to other chapters. Refrigeration chapters often cover both systems and equipment.

This outline provides guidance to the author/reviser so that chapters whose primary purpose is to present basic information are consistent and easy to follow. Topics that do not apply to a chapter can be omitted.

Title

Brief, accurate, and informative.

Contents

Show the major headings in the chapter.

Introduction

The introduction is a concise statement of the purpose for the chapter. It includes the topic(s) covered, why they are included, and how the information in the chapter relates to HVAC&R. It should not, however, simply restate the table of contents.

Definition/Description

A brief statement of what the application being covered includes and excludes.

Body/Discussion

The body of the chapter contains some or all of the following items as needed. *Be clear—ask someone who is not an expert in the subject area to review the revised chapter for clarity.*

Component Parts. Define specific parts. If applicable, include a matrix comparing important characteristics of different types of applications, components, equipment, or systems. Give enough detail so that similar types are distinguishable. Refer to material in other chapters to avoid duplication of lengthy discussions.

Typical Applications and Restrictions. For what or where is the application, system, or equipment used? Give examples. Make the reader aware of restrictions on the use of the application. Warn of common equipment/system misuse so that others do not repeat mistakes.

Advantages/Disadvantages.

Operation/Control Considerations.

Selection Considerations.

Cost Considerations.

- Initial
- Energy
- Operating/maintenance
- Disposal
- Special local considerations

Installation Considerations. Include key installation tasks that the contractor must complete as well as any documentation necessary to ensure that the equipment or system functions as the designer intends. Identify common pitfalls, their consequences, and how to avoid them.

Operating Considerations and Characteristics. Where applicable, include commissioning, operation, maintenance, and documentation guidance specific to the equipment described. Each TC that prepares a chapter is responsible for coordinating its specific information with the TCs that prepare the general information on these topics. What are any special characteristics? Is special training necessary for proper operation? Things to consider include sound, vibration, control, power requirements, safety, and access for inspection, testing, and maintenance.

Calculations. Include calculations required to properly select and apply equipment to this application. Use references to other chapters when appropriate.

Special Situations. Describe factors unique to the application being described in this chapter.

Illustrations/Examples. Consider including examples to help clarify the application. References to other chapters with notes pointing out the differences may make a better and more complete presentation.

References and Bibliography

Arrange references alphabetically by the first or only author's last name. Be sure all sources cited in the text are listed in the References section and are available in the general literature. References not readily available or on file may be deleted from the list by the editorial staff.

10.0 ELECTRONIC ENHANCEMENTS FOR ASHRAE HANDBOOK ONLINE

Although the latest print volume of the Handbook is the official version, the four-volume ASHRAE Handbook Online is designed to provide the most complete and useful electronic HVAC&R reference available. It includes (1) all Handbook chapters, with the latest updates and corrections, in searchable electronic format, and (2) interactive and supplemental tools and features developed or obtained by ASHRAE Technical Committees. See the [Handbook Central](#) page of the ASHRAE web site (www.ashrae.org) for the current guidelines on preparing features for ASHRAE Handbook Online. Tools and features should be submitted by TCs to their Handbook Committee Liaison, who will forward them to Handbook staff to edit and prepare as necessary for online publication.

11.0 REFERENCES AND RESOURCES

11.1 References

- Handbook Liaison Training PowerPoint presentation (in [Handbook Central](#) on www.ashrae.org)

- TC Handbook Subcommittee Chair Training PowerPoint presentation (in [Handbook Central](#) on www.ashrae.org)
- Rules of the Board (ROBs) (on [Handbook Committee page](#) of www.ashrae.org)
- Manual of Procedures (MOP) (on [Handbook Committee page](#) of www.ashrae.org)

11.2 Resources

- ASHRAE College of Fellows
- ASHRAE Chapter Technology Transfer Committee
- Distinguished Lecturers
- Industry Groups
- ASHRAE Handbook Staff
- Other ASHRAE Committees relevant to chapter's subject area

APPENDIX A

Chapter Revision Process Timeline for TC Handbook Subcommittees *(for use in setting up a project schedule)*

DATE: *(insert date and time)*

SESSION: *(insert chapter name)*
Chapter ## (TC-##/TG/TRG)

PREPARED BY: *(insert name)*, Handbook Subcommittee Chair

DISTRIBUTION: *(insert TC/TG/TRG ### chair name)*
(insert chapter reviser names) *(insert TC/TG/TRG reviewer names)* *(insert Handbook liaison name)*

Example for 2024 HVAC Applications volume:

Year 1 (volume published):

July 2020–January 2021

- Appoint TC subcommittee chair July 1
- Appoint chapter reviser(s) July 1
- Set semiannual reviser meeting dates and time July 1
- Review just-published chapter January 31
- Issue June meeting minutes August 15

February–June 2021

- Complete “just published” review comments checklist (Attachment C) February 1
- Lead reviser starts chapter recommendations (using official base Word file of just-published chapter) February–June 2021
- Issue January meeting minutes March 15

Year 2

July 2021–January 2022

- Lead reviser provides chapter revision status July 1
- Lead reviser starts chapter recommendations July 1
- TC subcommittee completes brainstorm session (Topics to consider latest technology, ASHRAE Research, library books, etc.) July 1
- Lead reviser continues chapter revisions July 2021–January 2022
- Issue June meeting minutes August 15

February–June 2022

- Lead reviser provides chapter revision status February 1
- Lead reviser submits proposed chapter to subcommittee February 1
- TC subcommittee reviews and comments on revisions February–June 2022
- Lead reviser continues with cross references February–June 2022
- Issue January meeting minutes March 15

Year 3

July 2022–January 2023

- Lead reviser provides chapter revision status July 1
- TC subcommittee submits chapter comments July 1
- Lead reviser continues chapter revisions July 2022–January 2023
- TC votes on proposed chapter revisions (if due date is March–June) January 31
- Issue June meeting minutes August 15

February–June 2023

- Lead reviser provides chapter revision status February 1
- TC subcommittee submits chapter comments February 1
- Subcommittee reviews and comments on revisions February 1
- Lead reviser completes Chapter Approval Checklist (Attachment D) (if due date is March–June) February 1
- Lead reviser sends Word file of revised chapter to Handbook Liaison (if due date is March–June) March–June 2023
- ASHRAE staff edits/lays out chapter (if due date is March–June) March–June 2023
- Issue January meeting minutes March 15

Year 4

July 2023–January 2024

- TC votes on proposed chapter revisions (if due date is July) January–June 2023
- Lead reviser completes Chapter Approval Checklist (Attachment D) (if due date is July) July 2023
- Chapter revision document sent to Handbook Liaison (if due date is July) July 2023
- ASHRAE staff edits/lays out chapter (if due date is July) July–January 2023
- ASHRAE staff sends PDF proof of chapter to lead reviser for final review and comments July 2023–January 2024
- Lead reviser approves/comments on proof to ASHRAE July 2023–January 2024
- ALL Handbook chapters are due for submission to ASHRAE HQ July 31, 2023
- Issue June meeting minutes (if due date is July) August 15

February–June 2024

- ASHRAE staff edits/lays out chapter February–March 2024
- ASHRAE staff sends PDF proof of chapter to lead reviser for final review and comments February–March 2024
- Lead reviser approves/comments on proof to ASHRAE February–March 2024
- ALL Handbook chapters sent to printer April 1, 2024
- Revised Handbook chapter published June 1, 2024

2024 Handbook Systems & Equipments Revision Schedule

		2020			2021				2022				2023				2024		
		Apr	Jul	Oct	Jan	Apr	Jul	Oct	Jan	Apr	Jul	Oct	Jan	Apr	Jul	Oct	Jan	Apr	Jul
Review	Current HB received (June 1)		June 1																
	TC selects HB subcom and chair																		
	Review current HB for changes																		
Revise	Decide extent of and outline revisions																		
	Seek and Appoint Reviewers																		
	Revise chapter(s)																		
Approve	Send revised chapter to TC for review																		
	TC approves chapter																		
	Send chapter to HBC liaison																		
Edit & Produce	HQ sends chpt. proof to TC contact																		
	HB sent to printer (April 1)																		
	HB mailed (May 15)																		

HB Com = Society Handbook Committee
HB = Handbook volume
HQ = ASHRAE Headquarters editorial staff

APPENDIX B

Handbook Chapter Revision Agenda and Meeting Minutes Template

(to be completed semiannually)

TC Handbook Subcommittee Chapter Revision Process

DATE: *(insert date and time)*

SESSION: *(insert chapter name)*
Chapter ## (TC/TG/TRG ##)

PREPARED BY: *(insert name)*, Handbook Subcommittee Chair

DISTRIBUTION: *(insert TC/TG/TRG ## chair name)*
(insert chapter reviser names) *(insert TC/TG/TRG reviewer names)* *(insert Handbook liaison name)*

AGENDA and POINTS of DISCUSSION:

- **Approval of Past Meeting Minutes**
Comments:
- **Selection of Reviewers/Reviser(s) for Chapter(s) Revision**
 - **Reviewer/Reviser Selections:** *It is suggested that reviewers/revisers be selected based on the following experience and/or background:*
 - Design Engineer: *(insert chapter reviewer/reviser name)*
 - Operating Engineer: *(insert chapter reviewer/reviser name)*
 - Trade Contractor: *(insert chapter reviewer/reviser name)*
 - Other: *(insert chapter reviewer/reviser name)*
- **Review the 4-Year Chapter Revision Timeline**
Comments:
- **Lead Reviser Chapter Revision Status**
 - Chapter reviewer checklist status
Comments:
 - Outline of chapter addressed per Authors and Revisers Guide
Comments:
- **Chapter Reviewer Checklist Discussed and Updated**
Comments:
- **New Business:**
Comment:
- **Milestones to Reach before Next meeting:**
Comment:
- **Other Comments and Questions:**

APPENDIX C

CHAPTER REVIEW FORM

ASHRAE® HANDBOOK CHAPTER REVIEW FORM

Handbook Volume Reviewed: _____ Volume Year: _____ Date: _____

Chapter No. _____ Chapter Title _____

1. Does this chapter, in your opinion, truly reflect the state of the art? Yes ☐ No ☐ Somewhat ☐

If you answered “no” or “somewhat,” please indicate typical example(s) below or provide an attachment.

2. Check the description that most nearly categorizes the relevance and balance between theory and practice in this chapter:

- ☐ a. Too much theory, not enough practical application.
☐ b. Just about right.
☐ c. Too little theory to support the recommendations.
☐ d. Obsolete—remove this subject from ASHRAE publication.
☐ e. Other: _____

3. Tables in this chapter are (check all that apply):

- ☐ a. Clear and understandable.
☐ b. Adequately footnoted.
☐ c. Properly referenced in the text.
☐ d. Sufficient for the average user.
☐ e. Too voluminous for a Handbook chapter.
☐ f. Inadequately documented.
☐ g. Not required (please list specific tables):
☐ h. Other: _____

1. Please identify tables prompting negative comments:

2. Please suggest tables, if any, that should be added to make the chapter more useful:

4. Equations and derivations are (check all that apply):

- ☐ a. Clear and understandable.
- ☐ b. Sufficient for the average user.
- ☐ c. Properly referenced in text.
- ☐ d. Properly footnoted to identify variables.
- ☐ e. Too voluminous for a Handbook chapter.
- ☐ f. Inadequately documented.
- ☐ g. In need of improvement.
- ☐ h. Not required (please list specific equations or passages):
- ☐ i. Other: _____

1. Please identify derivations/equations prompting negative comments:

2. Please suggest alternatives:

5. The examples given in this chapter are (check all that apply):

- ☐ a. Clear and understandable.
- ☐ b. Adequate for the average user.
- ☐ c. Appropriately interfaced with the text.
- ☐ d. Mathematically correct.
- ☐ e. Use the tables as indicated by the text.
- ☐ f. Inappropriate.
- ☐ g. Obsolete.
- ☐ h. Too complicated.
- ☐ i. Useless.
- ☐ j. Not required (please list specific examples):
- ☐ k. Other: _____

1. Please identify examples prompting negative comments:

2. Please identify sections that need more explanation or examples to clarify them:

6. The figures and graphics in this chapter are (check all that apply):

- ☐ a. Clear and understandable.
- ☐ b. Adequate for the average user.
- ☐ c. Appropriately interfaced with the text.
- ☐ d. Properly footnoted.
- ☐ e. Hard to read.
- ☐ f. Inappropriate.
- ☐ g. Obsolete.
- ☐ h. Not required (please list specific figures): _____
- ☐ i. Other: _____

1. Please identify figures or graphics prompting negative comments:

2. Please suggest additional figures, if any, that should be added to the chapter:

7. ASHRAE maintains a reputation as the “Standard of the Industry” in HVAC&R matters, with the Handbook series serving as its “bible.” In this context, and on an ascending scale from 0 to 7, please rate your overall evaluation of this chapter as a worthy representative of and contributor to this traditional role:

- ☐ 7 Couldn’t be better in any way.
- ☐ 6 Well done—only nominal review required.
- ☐ 5 Okay, but needs update more often.
- ☐ 4 Technically correct, but needs editing.
- ☐ 3 Technically acceptable, but needs amplification.
- ☐ 2 Not technically up to date, but better than nothing.
- ☐ 1 Completely revise and update or drop immediately.
- ☐ 0 Drop from Handbook or any other publication.

COMMENTS:

- ☐ **Do you wish to receive feedback from this chapter’s TC, in response to your comments?** (Please note that any contact information you provide will be used only for this purpose, and will not be shared with any other parties.)

Name (optional):

**Contact information
(optional):**

Return Completed Review to

Heather Kennedy, Handbook Editor

hkennedy@ashrae.org

ASHRAE, 1791 Tullie Circle, Atlanta, GA 30329

APPENDIX D

[Note: interactive electronic version of this form is available in [Handbook Central](#) on the ASHRAE website.]

ASHRAE® HANDBOOK

CHAPTER APPROVAL CHECKLIST

Handbook Volume _____ Intended Year _____
Chapter Title _____
Responsible TC _____ TC Chair _____
TC Handbook Subcommittee Chair _____
Lead Author/Reviser for chapter _____
Handbook Liaison _____

☐ 1. **Obtain TC approval.** Date: _____
of voting members _____ # Approving _____ Rejecting _____ Abstaining _____
Vote taken: ☐ At meeting ☐ By letter ballot

☐ 2. **Reviser or author who will review the proof pages:**
Name _____
Affil. _____
Addr. _____
Phone _____ email _____
Certifications/Advanced Degrees (e.g., PE, Ph.D., BEMP) _____

☐ 3. **Editing.** Do not retype the chapter unless changes are extensive. See Section 7.0 of the *Authors and Revisers Guide* for instructions on preparing manuscripts.

☐ 4. **References.** Submit copies/permissions for any references that are not available in the literature as required in Section 6.6 of the *Authors and Revisers Guide*. Check that references are correctly cited and updated as needed.

☐ 5. **Index.** Mark or list the words or topics that should be included in the Index.

☐ 6. **Search terms.** Mark or list the words or topics that should be included in search terms (e.g., bookstore searches, Google).

☐ 7. **Summary.** Submit a brief summary of changes made and new material added to chapter.

- ☐ 8. **Contributors.** Attach list of names, affiliations, and mailing addresses of others who contributed *significantly* to this revision of the chapter. List names and affiliations as they should appear on the Contributors page of the printed book, and notify Handbook staff of any changes so that personalized Handbooks can be delivered correctly. We prefer to credit no more than three revisers per chapter. Do not include names of those who only reviewed the chapter or made minor edits.

Contributor #1

Affil.

Address

Certifications/Advanced Degrees (e.g., PE, Ph.D., BEMP)

Contributor # 2

Affil.

Address

Certifications/Advanced Degrees (e.g., PE, Ph.D., BEMP)

Contributor #3

Affil.

Address

Certifications/Advanced Degrees (e.g., PE, Ph.D., BEMP)

- ☐ 9. **Figures.** New and redrawn figures should be original artwork, in an acceptable electronic graphics format (see the *Authors and Revisers Guide*, Section 7.3).
- ☐ 10. **Permissions.** Include copies of written permission to use material from publishers other than ASHRAE. Permission must be obtained in each publication cycle for both new and reused copyrighted material. Please contact Handbook staff if you need guidance with this step.
- ☐ 11. **ASHRAE Research.** Relevant ASHRAE research results have been incorporated as appropriate in the submitted chapter.
- ☐ 12. **Units.** New material must be provided in both I-P and SI units, either in the same file (parenthetical dual-units format) or in separate files.
- ☐ 13. **Comments.** Confirm that all comments on a specific Handbook chapter have been addressed by the TC responsible for the chapter.
- ☐ 14. **Submittal.** Submit this completed checklist, items 4 through 10, and electronic files (.doc or .docx) of the TC-approved manuscript to the Handbook Committee liaison listed on the TC roster, or, if you're using the ASHRAE Authoring Portal (AAP; authoring.ashrae.org), complete the checklist, upload any supporting files for items 4 to 10 into your Graphics folder, and notify editorial staff using the Contact ASHRAE Editors button.

Thank you for your help in improving our Handbook!