

 (\mathbf{R})

ADDENDA

ASHRAE Addendum c to ASHRAE Guideline 36-2021

High-Performance Sequences of Operation for HVAC Systems

Approved by ASHRAE on August 1, 2023

This addendum was approved by a Standing Guideline Project Committee (SGPC) for which the Standards Committee has established a documented program for regular publication of addenda or revisions, including procedures for timely, documented, consensus action on requests for change to any part of the guideline. Instructions for how to submit a change can be found on the ASHRAE[®] website (www.ashrae.org/continuous-maintenance).

The latest edition of an ASHRAE Standard may be purchased on the ASHRAE website (www.ashrae.org) or from ASHRAE Customer Service, 180 Technology Parkway, Peachtree Corners, GA 30092. E-mail: orders@ashrae.org. Fax: 678-539-2129. Telephone: 404-636-8400 (worldwide), or toll free 1-800-527-4723 (for orders in US and Canada). For reprint permission, go to www.ashrae.org/permissions.

© 2023 ASHRAE ISSN 1049-894X

© ASHRAE. Per international copyright law, additional reproduction, distribution, or transmission in either

print or digital form is not permitted without ASHRAE's prior written permission. ASHRAE Standing Guideline Project Committee 36

Cognizant TC: 1.4, Control Theory and Application SPLS Liaison: Christian Taber

Xiaohui Zhou*, <i>Chair</i>	James J. Coogan	Bryan Lang*	Joseph M. Ruggiero*
Christopher R. Amundson	Clark R. Denson	Kevin Li*	John R. Rundell
Jeffrey G Boldt*	Brent R. Eubanks*	Christopher McGowan	Brian W. Russell
lan Bonadeo	Richard A. Farmer	Mark F. Miller	Steven C. Sill
JoeDon Breda*	Michael Galler*	Kevin Ng	Jonathan Smith
Barry B. Bridges	Ken Gilbert	Aaron Opatz*	Ryan Soo*
Ronald Bristol*	Christopher S. Gosline	Gwelen Paliaga*	Raf Sowacki
Lance Brown*	Siddharth Goyal	Chirag D. Parikh*	Henry F. Stehmeyer, IV*
Anthony Bruno	Milica Grahovac	James Parker	Steven T. Taylor
Jayson F. Bursill*	David W. Guelfo	Michael A. Pouchak*	Meziane Touati
Cynthia A. Callaway*	Kyle W. Hasenkox	David J. Pritchard	Daniel W. Tyson
Yan Chen*	Reece Kiriu*	Paul Raftery*	Chariti A. Young*
C. Hwakong Cheng	Eric Koeppel*	Eric Rehn	Bei Zhang

* Denotes members of voting status when the document was approved for publication

ASHRAE STANDARDS COMMITTEE 2023–2024

Jonathan Humble, *Chair* Douglas D. Fick, *Vice-Chair* Kelley P. Cramm Abdel K. Darwich Drake H. Erbe Patricia Graef Jaap Hogeling Jennifer A. Isenbeck Phillip A. Johnson Gerald J. Kettler Jay A. Kohler Paul A. Lindahl, Jr. James D. Lutz Julie Majurin Lawrence C. Markel Margaret M. Mathison Kenneth A. Monroe Daniel H. Nall Philip J. Naughton Kathleen Owen Gwelen Paliaga Karl L. Peterman Justin M. Prosser David Robin Christopher J. Seeton Paolo M. Tronville Douglas Tucker William F. Walter Susanna S. Hanson, *BOD ExO* Ashish Rakheja, *CO*

Connor Barbaree, Senior Manager of Standards

SPECIAL NOTE

This Guideline was developed under the auspices of ASHRAE. ASHRAE Guidelines are developed under a review process, identifying a Guideline for the design, testing, application, or evaluation of a specific product, concept, or practice. As a Guideline it is not definitive but encompasses areas where there may be a variety of approaches, none of which must be precisely correct. ASHRAE Guidelines are written to assist professionals in the area of concern and expertise of ASHRAE's Technical Committees and Task Groups.

ASHRAE Guidelines are prepared by Project Committees appointed specifically for the purpose of writing Guidelines. The Project Committee Chair and Vice-Chair must be members of ASHRAE; while other committee members may or may not be ASHRAE members, all must be technically qualified in the subject area of the Guideline.

Development of ASHRAE Guidelines follows procedures similar to those for ASHRAE Standards except that (a) committee balance is desired but not required, (b) an effort is made to achieve consensus but consensus is not required, (c) Guidelines are not appealable, and (d) Guidelines are not submitted to ANSI for approval.

The Senior Manager of Standards of ASHRAE should be contacted for

- a. interpretation of the contents of this Guideline,
- b. participation in the next review of the Guideline,
- c. offering constructive criticism for improving the Guideline, or
- d. permission to reprint portions of the Guideline.

DISCLAIMER

ASHRAE uses its best efforts to promulgate Standards and Guidelines for the benefit of the public in light of available information and accepted industry practices. However, ASHRAE does not guarantee, certify, or assure the safety or performance of any products, components, or systems tested, installed, or operated in accordance with ASHRAE's Standards or Guidelines or that any tests conducted under its Standards or Guidelines will be nonhazardous or free from risk.

ASHRAE INDUSTRIAL ADVERTISING POLICY ON STANDARDS

ASHRAE Standards and Guidelines are established to assist industry and the public by offering a uniform method of testing for rating purposes, by suggesting safe practices in designing and installing equipment, by providing proper definitions of this equipment, and by providing other information that may serve to guide the industry. The creation of ASHRAE Standards and Guidelines is determined by the need for them, and conformance to them is completely voluntary.

In referring to this Standard or Guideline and in marking of equipment and in advertising, no claim shall be made, either stated or implied, that the product has been approved by ASHRAE.

(This foreword is not part of this guideline. It is merely informative and does not contain requirements necessary for conformance to the guideline.)

FOREWORD

Addendum c fixes an error in the minimum outdoor airflow set point logic for ASHRAE Standard 62.1 ventilation. There may be instances where the zone primary airflow rate (Vpz) is lower than the required zone outdoor airflow (Voz), causing the zone primary outdoor air fraction (Zpz) to exceed 1 and the minimum outdoor airflow set point to be driven to the design total outdoor air rate (DesVot).

A high limit of 1 has been added for the calculation of Zpz to prevent this. A limit of Zpz on transition to Occupied Mode has been added to prevent the minimum outdoor airflow set point from immediately jumping from 0 cfm to DesVot at the start of occupancy. A five-minute rolling-average has also been added to the Zpz calculation to counteract instabilities in the Vpz reading.

This addendum also moves the Zpz calculation from the Multiple Zone VAV Air Handling Unit section to the Generic Ventilation Zones section, as the Zpz calculation should occur for all ventilation zones, not at the air-handling unit.

Note: In this addendum, changes to the current guideline are indicated in the text by <u>underlining</u> (for additions) and strikethrough (for deletions) unless the instructions specifically mention some other means of indicating the changes.

Addendum c to Guideline 36-2021

(IP and SI Units) Add Section 5.2.1.3.g as follows:

g. For each zone in Occupied Mode, calculate the zone primary outdoor air fraction, Zpz, where Vpz is the zone primary airflow rate as measured by the VAV box:

$$\underline{Z_{pz}} = MIN\left(1 \left| \frac{V_{oz}}{V_{pz}} \right)\right)$$

1. Zpz shall be limited to a value no greater than 0.5 for 5 minutes following the onset of Occupied Mode and shall otherwise be calculated based on a 5-minute rolling average.

Revise Section 5.16.3.1 as follows:

5.16.3.1 Outdoor Airflow Setpoint for ASHRAE Standard 62.1-2016 Ventilation

- a. See Section 5.2.1.3 for zone outdoor air requirement Voz.
- b. See Section 3.1.4.2.a for setpoints DesVou and DesVot.

The following logic solves the Standard 62.1 multiple-spaces equation dynamically. This is required prescriptively by ASHRAE/IES Standard 90.1 for single-duct VAV systems. The logic does not strictly apply to VAV systems with multiple recirculation paths, such as dual-fan dual-duct systems and systems with fan-powered terminals, nor is it required by Standard 90.1 for these systems. Logic for dynamic reset for these systems has yet to be developed.

c. The uncorrected outdoor air rate setpoint Vou is recalculated continuously based on the adjusted ventilation rates Vbz-A* and Vbz-P* of the zones being served determined in accordance with Section 5.2.1.3.

Some diversity factor is included in Vou, calculated below, because the ventilation requirements have been zeroed out for unoccupied zones and those with open window switches. But there is additional diversity in areas with occupancy sensors because only one person in the room will trigger the sensor. There is also diversity in other areas without occupancy sensors. Therefore operating Vou is limited to design Vou, and the diversity value of D in the calculation of DesVou is not required.

1. Calculate the uncorrected outdoor air rate Vou for all zones in all Zone Groups that are in Occupied Mode, but note that Vou shall be no larger than the design uncorrected outdoor air rate DesVou.

 $V_{ou} = \text{MIN}(\text{DesV}_{ou} | (\sum V_{bz-A^*} + \sum V_{bz-P^*}))$

d. Vps is the sum of the zone primary airflow rates Vpz as measured by VAV boxes for all zones in all Zone Groups that are in Occupied Mode.

e. For each zone in Occupied Mode, calculate the zone primary outdoor air fraction Zpz: Zpz = Voz/Vpz

See ASHRAE Guideline 13 for best practices in locating programming logic for the zone primary outdoor air fraction calculation based on network architecture.

- f. Calculate the maximum zone outdoor air fraction Zp, where Zpz is evaluated for all zones in all Zone Groups that are in Occupied Mode: Zp = max(Zpz)
- g. Calculate the current system ventilation efficiency Ev: Ev = 1 + (Vou/Vps) - Zp
- h. Calculate the effective minimum outdoor air setpoint MinOAsp as the uncorrected outdoor air intake divided by the system ventilation efficiency, but no larger than the design total outdoor air rate DesVot:

$$MinOAsp = MIN\left(\frac{V_{ou}}{E_v} \middle| DesV_{ot}\right)$$

POLICY STATEMENT DEFINING ASHRAE'S CONCERN FOR THE ENVIRONMENTAL IMPACT OF ITS ACTIVITIES

ASHRAE is concerned with the impact of its members' activities on both the indoor and outdoor environment. ASHRAE's members will strive to minimize any possible deleterious effect on the indoor and outdoor environment of the systems and components in their responsibility while maximizing the beneficial effects these systems provide, consistent with accepted Standards and the practical state of the art.

ASHRAE's short-range goal is to ensure that the systems and components within its scope do not impact the indoor and outdoor environment to a greater extent than specified by the Standards and Guidelines as established by itself and other responsible bodies.

As an ongoing goal, ASHRAE will, through its Standards Committee and extensive Technical Committee structure, continue to generate up-to-date Standards and Guidelines where appropriate and adopt, recommend, and promote those new and revised Standards developed by other responsible organizations.

Through its *Handbook*, appropriate chapters will contain up-to-date Standards and design considerations as the material is systematically revised.

ASHRAE will take the lead with respect to dissemination of environmental information of its primary interest and will seek out and disseminate information from other responsible organizations that is pertinent, as guides to updating Standards and Guidelines.

The effects of the design and selection of equipment and systems will be considered within the scope of the system's intended use and expected misuse. The disposal of hazardous materials, if any, will also be considered.

ASHRAE's primary concern for environmental impact will be at the site where equipment within ASHRAE's scope operates. However, energy source selection and the possible environmental impact due to the energy source and energy transportation will be considered where possible. Recommendations concerning energy source selection should be made by its members.

ASHRAE · 180 Technology Parkway · Peachtree Corners, GA 30092 · www.ashrae.org

About ASHRAE

Founded in 1894, ASHRAE is a global professional society committed to serve humanity by advancing the arts and sciences of heating, ventilation, air conditioning, refrigeration, and their allied fields.

As an industry leader in research, standards writing, publishing, certification, and continuing education, ASHRAE and its members are dedicated to promoting a healthy and sustainable built environment for all, through strategic partnerships with organizations in the HVAC&R community and across related industries.

To stay current with this and other ASHRAE Standards and Guidelines, visit www.ashrae.org/standards, and connect on LinkedIn, Facebook, Twitter, and YouTube.

Visit the ASHRAE Bookstore

ASHRAE offers its Standards and Guidelines in print, as immediately downloadable PDFs, and via ASHRAE Digital Collections, which provides online access with automatic updates as well as historical versions of publications. Selected Standards and Guidelines are also offered in redline versions that indicate the changes made between the active Standard or Guideline and its previous edition. For more information, visit the Standards and Guidelines section of the ASHRAE Bookstore at www.ashrae.org/bookstore.

IMPORTANT NOTICES ABOUT THIS GUIDELINE

To ensure that you have all of the approved addenda, errata, and interpretations for this Guideline, visit www.ashrae.org/standards to download them free of charge.

Addenda, errata, and interpretations for ASHRAE Standards and Guidelines are no longer distributed with copies of the Standards and Guidelines. ASHRAE provides these addenda, errata, and interpretations only in electronic form to promote more sustainable use of resources.